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Elaine C. Stracker

PATENT

Attorney's Docket No.: 00023.04CON

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Rabinowitz et al.

Serial No.:

10/814,998

Filed:

3/31/2004

Title

DELIVERY OF MUSCLE RELAXANTS THROUGH AN

INHALATION ROUTE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with their continuing duty of disclosure under 37 CFR §§ §1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines than an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number.

Respectfully submitted,

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Date:

Elaine C. Stracker J.D., Ph.D., Reg. 43,166

Alexza Molecular Delivery Corporation 1001 East Meadow Circle Palo Alto, CA 94303

TEL: (650) 687-3905 FAX: (650) 687-3999 THAT!

PTO/SB/21 (08-03)

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			Application Number	10/814,998
TR	ANSMITT	AL	Filing Date	3/31/2004
:	FORM	:	First Named Inventor	Joshua D. Rabinowitz
(to be used fo	r all correspondence after	initial filing)	Art Unit	Not yet known
		•	Examiner Name	To be assigned
Total Number of F	Pages in This Submission		Attorney Docket Number	00023.04CON
	<u> </u>	ENCLOS	URES (check all that apply)	y)
Amendment Afte Affid Extension of	httached	Petition to Provision Power of Change of Terminal	s) p-related Papers o Convert a al Application Attorney, Revocation of Correspondence Disclaimer for Refund	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below):
Information D	Disclosure Statement	CD, Num	nber of CD(s)	
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts Under 37 CFR 1.52 or 1.53  Remarks  Info. Discl. Star Form 1449 (3 of Copy of Market Name of Copy of Copy of Market Name of Copy of Mar			pies , 7 pages each) erences / 4 4	
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SEP 2 1 2004 P

TION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 0023.04CON

Application Number: 10/814,998

Applicant:

Joshua D. RABINOWITZ et al.

Filing Date:

3/31/2004

Group Art Unit:

Not Yet Known

### U.S. PATENT DOCUMENTS

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	2.	10/19/1976	3,987,052	Hester, Jr.			
	3.	05/27/1980	Re. 30,285	Babington			
	4.	01/23/1990	4,895,719	Radhakrishnun et al.			
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Form PTO-1449		Docket Number: 002	3.04CON	Application	Number: 10/814,998
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant:	Joshua D. R	ABINOWITZ	et al.
(Use several sheets if necessary)		Filing Date:	3/31/2004	Group Art I	Unit: Not Yet Known
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EXAMINER:		DATE CO	NSIDERED		
EXAMINER: Initial if citation considered, wh conformance and not considered. Include a co	ether or not the citati	ion conforms with M	PEP 609. Dra	w a line through	the citation if not in

Form PTO-144	9		Docket Number: 0023.04	umber: 0023.04CON Application Number: 10/814		r: 10/814,998
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant: Jos	shua D. RAB	NOWITZ et al.		
	(Use several sheets if nee	cessary)	Filing Date: 3/3	31/2004	Group Art Unit:	Not Yet Known
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EXAMINER:			DATE CONS	·		
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Form PTO-144	9		Docket Number: 0023.04CON Application Number: 10		r: 10/814,998	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Applicant: Joshua D. RABINOWITZ et al.				
	(Use several sheets if ne	cessary)	Filing Date:	3/31/2004	Group Art Unit:	Not Yet Known
					•	
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### Form PTO-1449

## INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:	0023.04CON	Application Number	er: 10/814,998
Applicant:	Joshua D. RA	BINOWITZ et al.	
Filing Date:	3/31/2004	Group Art Unit:	Not Yet Known

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	113.	03/14/1990	EP 0 358 114	Europe			
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	139.	03/28/2002	WO 02/24158	WIPO			

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# Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION

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			Sheet 0 01 7	
Docket Number:	0023.04CON	Application Number: 10/814,998		
Applicant:	Joshua D. R.A	BINOWITZ et al.	-	
Filing Date:	3/31/2004	Group Art Unit:	Not Yet Known	

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(including author, title, Date, Pertinent Pages, Etc.)

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	140.	Bennett, R.L. et al. (1981). "Patient-Controlled Analgesia: A New Concept of Postoperative Pain Relief," Annual Surg. 195(6):700-705.
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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/08 (2-92) Sheet 7 of 7

Form PTO-1449		Docket Number:	0023.04CON	Application Number	: 10/814,998		
	NFORMATION DISCLOSURE CITATION IN AN APPLICATION Applicant: Joshua D. RABINOWITZ et al.						
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.